ANS 3.5 Working Group Meeting Minutes American Nuclear Society STARS Alliance Building, Goodyear AZ Approved Minutes Andrews 2013 April 1-5

1.	VISITORS	
2.	MEMBERSHIP AND ATTENDANCE	5
3.	ACTION ITEMS	
3 3	3.1 ACTION ITEM QUICK-LOOK TABLE 3.2 ACTION ITEMS	7 8
4.	WORKING GROUP PROCEDURAL RULES	
4 4	 4.1 RULES OF THE CHAIR 4.2 RULES ENACTED BY THE WORKING GROUP 	27 28
5.	TUESDAY 2013 APRIL 1 (0800)	
5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	 5.1 INTRODUCTION (0800) 5.2 ROLL CALL 5.3 CONSENSUS LEVEL 5.4 MOTION (CARRIED): SHEARON HARRIS MINUTES APPROVAL 5.5 MOTION (CARRIED): AGENDA APPROVAL 5.6 OFFICERS REPORTS 5.7 INDUSTRY UPDATE 5.8 NEW MEMBERSHIP DISCUSSION 5.9 ACTION ITEM 43 SIMULATOR PERFORMANCE TEST PROGRAM GUIDELINE 5.10 MOTION: CLOSE AI-43 WITH NO ADDITIONAL DISCUSSION 5.11 AI-51 GOODMAN CORE PERFORMANCE TESTING 5.12 RECESSED: 1705 WEDNESDAY 2013 APRIL 3 (0800) 	29 29 30 31 32 32 32 33 33 49 49 49 51
6.	61 ROLLCALL	52
6	6.2 CONSENSUS LEVEL	53
6	6.3 AI-51 GOODMAN CORE PERFORMANCE TESTING (CONTINUED)	53
6	6.4 AI-51 () MOTION REPLACE SECTION 4.4.3	55
6	 AI-51 (CARRIED) AMENDED MOTION REPLACE SECTION 4.4.3 (NOT CARRIED) MOTION PERLACE SECTION 3.4 	57
6	67 (CARRIED) ADD MISSING FOOTNOTE TO SECTION 4.4.3	
6	6.8 RECESSED: 1640	59
7.	THURSDAY 2013 APRIL 4 (0800)	

7.1 7.2 7.3 7.4 7.5 7.6 7.7 7.8 7.9 7.10 7.11	Roll CallConsensus LevelDraft Standard Preparation Assignments(Chang) Technical Edits:Consensus Level(Carried) Formal ReadInitial Read and Parking Lot Items:(Carried) Motion Replace Section 3.4(Carried) Resume Formal ReadProposed Draft Standard Concerns - NRC RepresentiveRecessed: 1740	60 61 61 62 62 63 66 67 67 77
8. FR	RIDAY 2013 APRIL 5 (0800)	
8.1 8.2 8.3 8.4 8.5 8.6 8.7 8.8	ROLL CALL CONSENSUS LEVEL MOTION – ACCEPT KOUTOUZIS RESIGNATION CONSENSUS LEVEL MOTION (CARRIED) – COOPER INQUIRY RESPONSE PARKING LOT ITEM ASSIGNMENTS: NEXT MEETING TENTATIVE ADJOURNED: 1100	78 79 79 79 80 81 83 83
9. AT	TACHMENT 1 - STYLE GUIDE REVIEW (SK CHANGE)	
10. 4	ATTACHMENT 2 – MOTION TEMPLATE	

<u>1.</u> <u>Visitors</u>

Visitor	Date	Affiliation	Email, Phone Fax
Mr. Tim Dennis	2013apr02	645 Lehigh Gap St.	Email: a243@yahoo.com
	_	P. O. Box 119	Phone :610-767-0979
		Walnutport, PA 18088-0119	Fax : 610-767-7095
William Fraser	2013apr02	Westinghouse Electric Company	Email: fraserwa@westinghouse.com
Proxy for McCullough		I-70 Madison Exit 54, MB #20	Work: 724-722-5777
		Madison, PA 15663, USA	Work: 724-722-5665
Bill Hendy	2013apr02	INPO	Email: hendywr@inpo.org
Proxy for Koutouzis		700 Galleria Parkway, NW	Work: 770-644-8863
		Atlanta, GA 30339-5957	Fax:
Warren Potter	2013apr02	Palo Verde	Email: wpotter@apsc.com
	_		Phone : 623-393-6165
William Hendricsen	2013apr03	Palo Verde	Email: William.hendricsen@aps.com
			Phone : 623-393-6585
Majid Saba	2013apr03	Palo Verde	Email:
			Phone : 623-393-5474

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Membership and Attendance <u>2.</u>

Me	mbership and A	ttendance		9tt
Present	Member	Address	Notes-Proxy	Email-Phone-Fax
Present	Jim Florence Chair	Nebraska Public Power District P. O. Box 98 Brownville, Nebraska 68321		Email: jbflore@nppd.com Phone: 402-825-5700 Fax: 402-825-5584
Present	Robert Felker Vice Chair	Western Services Corporation 7196 Crestwood Blvd Suite 300 Frederick, MD 21703		Email: felker@ws-corp.com Phone: 301-644-2520 Fax: 301-682-8104 Cell: 240-344-5889
Present	Keith Welchel Secretary	Duke Power Company Oconee Training Center- MC:ON04OT 7800 Rochester Hwy Seneca, SC 29672		Email: keith.welchel@duke-energy.com Phone: 864-885-3349 Fax: 864-885-3432
Present	F.J. (Butch) Colby Editor	L-3 MAPPS 8565 Cote-de-Liesse Quebec, Canada H4T 1G5		Email: <u>butchcolby@comcast.net</u> Email: butch.colby@l-3com.com Phone: (410) 961-7535 Fax: (410) 756-1954
Present	Lawrence (Larry) Vick Parliamentarian	US NRC, Office of Nuclear Reactor Regulation 07-G13 Washington, DC 20555		Email: lawrence.vick@nrc.gov Phone: 301-415-3181 Fax: 301-415-3061
Present/ Early Departure: Proxy: Fraser	George McCullough	GSE Systems, Inc. 2300 St. Marys Road Suite D St. Marys, GA 31558	Proxy: Fraser	Email: gsmccullough@gses.com Phone: 912-576-6730 Cell: 410-707-6946
Proxy: Bill Hendy	Dennis Koutouzis	INPO 700 Galleria Parkway, NW Atlanta, GA 30339-5957	Proxy: Hendy	Email: koutouzisjd@inpo.org Phone: 770-644-8838 Fax: 770-644-8120
Present	Frank Tarselli	129 Abbey Rd Sugarloaf, PA 18249		Email: frankt64@ptd.net Phone: 570.542.3717 Cell: 570-956-0303 Fax: 570.542.3855
Present	SK Chang	Dominion Nuclear Connecticut, Inc. Millstone Power Station L. F. Sillin, Jr. Nuclear Training Ctr. Rope Ferry Road Waterford, CT 06385		Email: <u>Shih-Kao.Chang@dom.com</u> Phone: 860-437-2521 Fax: 860-437-2671
Present	Robert Goldman	Entergy 1340 Echelon Parkway Jackson, MS 39213-8298		Email: <u>rgoldma@entergy.com</u> Phone: 601-368-5582 Fax:

Present	David Goodman	Luminant PO Box 1003 Glen Rose, TX 76043		Email: <u>david.goodman@luminant.com</u> Phone: 254-897-5636 Fax: 254-897-5714
Present	Jody Lawter	VC Summer Nuclear Station PO Box 88 Jenkinsville, SC 29065		Email: jody.lawter@scana.com Phone: 803-345-4854 Fax: 803-931-5616
Present	Mac McDade	Progress Energy – Harris Nuclear Plant 3932 New Hill–Holleman Rd New Hill, NC 27562		Email: mac.mcdade@pgnmail.com Phone: 919-362-3319 Fax: 919-362-3346
Absent	Michael Petersen	Xcel Energy – Prairie island – Monticello 1660 Wakonade Drive West Welch, MN 55089	No Proxy	Email: Michael.petersen@xenuclear.com Phone: 651-388-1121 x 7253 Fax: 651-330-6282
Present	Pablo Rey	Tecnatom, s.a. Avda. Montes de Oca, 1 San Sebastian de los Reyes, 28703 - Madrid	A	Email: prey@tecnatom.es Phone: +346-079-99218 Fax: +349-165-98677
Present	James Sale	North Anna Power Station 11022 Haley Drive, PO Box 402 Mineral, Virginia 23117-0402	3.	Email: jim.sale@dom.com Phone: 540-894-2464 Fax: 540-894-2931

<u>2117-0402</u>

Group

<u>3.</u> <u>Action Items</u>

3.1 Action Item Quick-look Table

		Оре	n	Comp	lete	Carried Stan	to Next dard		
1	2	3	4	5	6	7	8	9	10
11	12	13	14	15	16	17	18	19	20
21	22	23	24	25	26	27	28	29	30
31	32	33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48	49	50
51	52	53	54						

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3.2 Action Items

3.2	Action items			
No.	Status	Date	Assigned To:	Work Assignment
1		2010oct05	Florence	Appoint new members for officer development (job
			Lawter	shadow for position development).
			Sale	Parliamentarian Assist Lawter, Sale
2	2011nov17: Closed	2010oct06	Koutouzis	2009 AI-60
			McCullough	Define the Term Training Needs Assessment in such
				a manner that it is clear in intent to both Training and
				Simulator staff
				2011nov17:
				The WG agreed the definition of "Training Needs
				Assessment" is adequate
3	2012Aug30: Closed	2010oct06	Vick	2009 AI-126
			Tarselli (BWR)	Consider adding Performance Test Program in next
			Petersen (BWR)	standard. New Appendix that gives example
			Rey (BWR)	Performance Testing Program.
			Goodman (PWR)	
			McDade (PWR)	2012aug30:
			Sale (PWR)	AI-3 is closed with the creation of AI-43
				A draft Appendix was presented. AI-43 was created
				for additional consideration.

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4	2011jun08:	2010oct06	Tarselli	2009 AI-132
	Closed items - 1, 3, 4		Vick	1. Review Malfunction Testing. 2011jun08 Closed
			Chang	2. Are all list required?
	2011nov16:		Fraser	3. What constitutes Malfunction testing is unclear
	Closed Item 2		Felker	2011jun08 Closed
				4. Better define Malfunction causes. 2011jun08 Closed
				2011jun08
				2. AI-4 remains open pending review of Section 3.1.4
				List. The remaining issue is relevance of the
				Malfunction list in Section 3.1.4 to the 201x standard.
				Additional consideration is if the malfunction list in
				section 3.1.4 should remain, be deleted or moved.
				2011nov16
				Closed by Motion
5	2011jun08: Closed	2010oct06	McCullough	2009 AI-134
			Florence	Minimum testing Periodicity
	2011nov16: Wording shange		Tarselli	Build Periodicity into the standard
	wording change.		Colby	2011jup09
				Closed with Motions
				Realtime/Repeatability testing periodicity moved to
				AI-10
				2011nov16
				Added the word capability:
				An instructor station capability test shall be
				conducted
	×)'		

6	2012aug30: Closed	2010oct06	Welchel	2009 AI-147
			Lawter	2009 AI-180
			Petersen	Non-fully integrated mode performance testing
			McDade	Where applicable run performance test off-line
			Goodman	
				2011jun08 Discussion
				2011nov18 Welchel
				New Definition and Sec. 3.4.3 change proposed for
				consideration. Discussion tabled
				2012aug29 Motion Not Carried.
				AI-6 is not closed and will consider additional input
				based on the discussions and member feedback.
				2012aug30 Motion Carried
				New AI-44: AI-6 Motion Carried Simple Majority:
				Consult ANS-21 (Maintenance Operations Testing &
				Training) subcommittee for determination if this
				change is a Substantive Change.
				2012sep21: The following reply was received from
				Carl Mazzola:
				This is a substantive change. Another
				sentence was added with a shall statement.
				ALC managed with a 9 Ferr and 7 Appingt Schutzerier
				A1-0 passed with a 8-For and /-Against. Substantiative
				Change requires Consensus requiring a 75% approval.
				Ineretore AI-o status is Not Carried . AI-o minutes
				status has been updated to: Not Carried.
				2012dec05: ALE is Closed
				2012decu3: AI-6 IS Closed

7	2012aug30: Closed	2010oct06	Vick	2009 AI-150
			Goldman	Review the term Power Range for consistency
				Confusion about the term Power Range.
				2012aug30
				AI-7 is closed.
				Power range has been removed in 3 of 5 instances in
				the present draft standard. The remaining two
				instances are consistent.
8	2011jun09: Closed	2010oct06	Chang	2009 AI-162
			Tarselli	Review Appendix B parameters against the standard
			Felker	body
				MANTG comments App. B parameters and std body
				are not consistent.
				2011jun09 – A parliamentary issue regarding motion
				results. See AI-26
				2011nov16:
				AI-8 was reviewed and changed to "Carried". See
				Summer minutes Section 5.4.

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9	2012aug29: Closed	2010oct06	Felker	2009 AI-163
			Lawter	Next generation simulators
			McCullough	New builds.
			Fraser	Public review comments that the WG did not
			Colby	considered new builds.
			McDada	Examine unique issues with new builds. Poviow will ask if 3.5.2000 provides sufficient
			Koutouzis	guidance for new builds
			Rev	guidance for new bunds.
			Sale	Focus
			Suic	Transients (AI-9 Closed Granbury Resort)
				Malfunctions (Closed AI-4 VC Summer)
				Configuration management
				DCS
				Appendix D Review (Limited Scope applications)
				Lawter
				2011jun10 – Info presented.
				Next meeting will propose the first of several
				anticipated standard changes.
				2012Mar14 – Motion Rewrites Sections 5.4.5.1/4.4.5.1
				and deleted Appendix B
				2012aug29 – Working Group discussed Appendix D
				and agreed to no changes. The Working Group agreed
				to closed AI-9.
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10	2011nov16: Closed	2010oct06	McCullough	2009 AI-179
			Felker	Real-time and Repeatability testing Periodicity
			McDade	2009 Public review comments.
			Goldman	Methodology to demonstrate real-time.
				2011jun10
				Carried from AI-5 Realtime/Repeatability
				-Establish Realtime/Repeatability Periodicity Testing
				Requirement
				2011nov16
				Closed by Motion.
11	2012Mar16: Closed	2010oct06	Goodman	2009 AI-181
			Vick	Section 5 rewrite
			Petersen	2009 Westrain Comment #60
			Chang	Configuration Management expectations needs
				strengthening
				Performance based.
				V&V is part of configuration mgt. (Section 4) possible
				a better fit in Section 5
				2011nov15 – Section 5.4 references Section 4.4 and
				should reference 4.2
				2012Mar16: Closed with three AI motions
12	2010oct22: Closed	2010oct06	Florence	Invite ANS-21 Chair to WG meeting
				ANS-21 Chair
				Gene Carpenter
				Two White Flint North
				Washington, DC 20555-0001
				Mobile Ph: 202-579-5155
				Work Ph: 301-415-7333
				Email: gene.carpenter@nrc.gov
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13	2011jan28: Closed	2010oct06	Florence	Send letters of appointment to new working group members and their respective facility management Letter to new working group member and manager.
14	2011jan28: Closed	2010oct06	Florence	Coordinate next ANS-3.5 Meeting at the Crystal River Nuclear Power Plant in January 2011
15	2011jan28: Closed	2010oct06	Florence	2009 AI-185 Send a letter to the NEI in an effort to promote NEI participation in the ANS-3.5 Working Group and to develop a more collaborative relationship.
16	2012aug29: Closed	2011jan28	Sale Rey McCullough Tarselli Chang Koutouzis	Consider the option to include other uses of the simulator in footnote 1 on Page 1 of the Standard (e.g. - technical support). This was a consideration during the development of the scope statement in lieu of explicitly mentioning other uses of the simulator in the scope statement. 2012aug29 – Presentation and discussion. WG agreed to close AI-16 with no action.

Approved Minutes F

17	2012Mar14: Closed	2011jan28	McDade Tarselli Koutouzis Petersen	Consider placing language in Section 1.2 Background to insert "experience requirements": 'It is intended that in meeting the criteria of this standard, the simulator will be sufficiently complete and accurate to meet the training needs of the industry as well as the requirements of the NRC, as described in <i>Code of</i> <i>Federal Regulations</i> , Title 10, "Energy," Part 55, "Operators' Licenses" (10CFR55) and station mandated experience requirements
				Consider language in Section 1.2 Background to add clarification regarding control manipulations allowed by 10CFR55.46 and how this standard supports it. 2012mar14 – team recommended closure. Standard is sufficient.
18	APP	2011jan28	Florence Rey Holl Fraser	 Contact ANS to determine international opportunities in Standard development. Consider language in Section 1.2 Background to mention use of this standard by the international community. Additional consideration in the Standard body for the international community. Acknowledge international regulatory authorities. 2012aug29: The recommended wording will be considered during the final read of the standard. The wording is to be inserted in the Foreword and its location will be determined at that time.

19	2012nov18: Closed	2011jan28	Tarselli McCullough Goodman Chang Rey	 Review the list below for inclusion into ANS 3.5 or other standards and basis for the recommendation: Engineering Assist Simulation Assisted Engineering EP DCS Logic Control Validation HFE – Human Factors Engineering Tech Training – I&C / Mechanical PR Tours Process Flow Diagrams Spec. Operating Parameters PRA SAMG 	
20	2012aug30: Closed	2011jan28	McCullough Colby Tarselli Lawter Fraser	Identify areas in the standard that can be improved to address DCS 2012aug30: Closed by Motion	
21	2011jun10: Closed	2011jan28	McCullough Felker Koutouzis Lawter Goodman	 Evaluate the need for inclusion into the standard other simulation devices derived directly from the full scope control room simulator. 2011jun10 – Presentation and discussion. No additional discussion and action will be taken. This AI is closed. 	
	APPro				

22	2012aug30: Closed	2011jan28	Lawter Sale Welchel Vick Felker	Review the recent regulatory cyber security guidance and OE to determine if cyber security should be included in the standard. 2012aug30: Power Point presentation. Recommendation to close AI-22
				AI-22 is closed
23	2012aug28: Closed	2011jan28	Vick Tarselli Rey Sale Florence Chang	 Evaluate the need for including into Section 3.3.1 a set of IC criteria for ICs that are to be used when conducting the performance tests required by this standard. 2011jun10 – Proposal made. Additional consideration required. 2012aug28: present requirements are sufficient.
24	2011feb01: Closed	2011jan28	Florence	Submit PINS Form to ANS Administrator 2011feb01 PINS has been submitted.

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25	2012mar13: Closed	2011jun10	Chang	 The following Appendix B Steady State parameters were considered in AI-8. BWR control rod drive hydraulic system flow and temperature secondary plant heat balance data PWR containment pressure boron concentration pressurizer temperature control rod positions secondary plant heat balance These parameters should be reviewed for inclusion into the standard body Steady State parameter list.
				2012mar13: Closed by Motion

Approved Minutes M

26	2012dec05: Closed	2011jun10	Florence	 Review and recommend modifications to the Rule of the Chair related to quorum in session. Interim Voting (Motions – Substantive Changes) shall be by Consensus (75% [rounded up] of quorum in session); Rule of the Chair for the remainder of the meeting: Interim Voting (Motions – Substantive Changes) shall be by Consensus (75% [rounded up] of voting membership present); 2011nov15: Additional consideration is needed to determine if previously "Not-carried" Motions are affected by the revised Rule of the Chair. 2012dec05: At the Granbury Resort Conference meeting, the Vick report (Section 5.10) concluded there are no Motions affected by the revised Rule of the Chair.
27	2011nov15: Closed	2011jun10	Florence	Define Substantive Change with regards to Motion
21				"Carried" threshold. 2011nov15: Closed with AI-26 discussion.
28	2012aug30: Closed	2011jun10	Felker	Review and report to the WG the usage of the terms: If
			Chang Sale	available versus As applicable.
				2012aug30: Closed with AI-28 discussion.
	P.F.			

29	2011nov17: Closed	2011jun10	Rey	Review Normal Operating procedures Surveillance
			Tarselli	testing with regards to periodicity testing.
				It should be clarified what Normal Evolutions defined in 3.1.2.2 shall be tested with the frequency established in 4.1.3.2 2011nov17: Closed by Motion: Carried
				Text substitution in section 4.1.3.2 Normal evolutions
30	2012Mar14: Closed	2011jun10	Sale	Review Appendix B Steady State section for deletion.
				2012mar14 – AI-9 deleted Appendix. This AI is closed.
31	2011nov18: Closed	2011jun10	Petersen	Review list nomenclature for consistency
			Chang	2011 nov 18: Closed by Motion Carried
32	2012dec11: Closed	2011nov17	McCullough	Verify testing periodicity terminology consistency
				across section 4.
				20121 11
				2012dec11 McCullough lead a discussion reviewing the sections
				and consistency. There is consistency across Section
				4.0.
				AI-32 is closed
		10		AI-52 IS Closed.
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33	2012aug30: Closed	2011nov18	Welchel	 Review use and consistency of term Fully Integrated, partially-integrated and Non-integrated, and Standalone with regards to Sections 3 and 4. 2012aug30 – Review indicates the Section 5 rewrite consolidated these terms. AI-33 Closed.
34	2012Mar16: Closed	2012Mar14	Colby	 AI-9 deleted Appendix B, this AI is to review/cleanup remaining references to Appendix B 2012mar16: Closed Two Column Document Rev 4 updated.
35	2012Mar15: Closed	2012Mar15	Felker Colby	 AI-5 Review the usage of "preference" and "shall" in Section 5.1.2 2012mar15: Closed - The working group reviewed the definitions of "preference" and "precedence". The list may be a precedence list but preference is adequate.
36	2012aug30: Closed	2012Mar15	McCullough Goodman	 Consider replacing the opening paragraph in Section 5. With the following: A configuration management program shall be established to provide a means for demonstrating compliance with Sec. 3, "General Requirements." Section 5.1 is for initial simulator construction or for rebaselining the simulator design, else use Section 5.2. 2012aug30: Closed with AI-36 discussion.
37	2012dec11: Closed	2012Mar15	Chang Fraser Goodman	Consider definitions for "benchmark" and "baseline". 2012dec11 Recommendation is to close AI-37 with no action.

38	2012aug30: Closed	2012Mar15	Rey Goodman	With the new Section 5 (AI-11 2012mar15), Section 5.3 Assessment of Deviations, review the assessment
				parameters for adequacy as they apply to operational performance. Previously, the items only applied to
				physical fidelity.
				2012aug30: Closed with AI-38 discussion.
39	2012aug28: Closed	2012Mar15	Goodman Chang	Consider revising Section 5.1 to include verification and validation as it applies to initial simulator
				construction.
				2012aug28 – Closed by agreement
40	2012Mar15: Closed	2012Mar15	Goodman	Section D.2 cleanup references to 3.2.1.4 and in
				Section D.5 cleanup references to 4.2.1.4.
-				Closed by Motion
41	2012aug28: Closed		Goodman Welchel	Additional review of Section 3.4.1/3.4.2/4.4.1/4.4.2
			Dennis	- Previous sections 3.4.1/3.4.2/4.4.1/4.4.2 use the word
			Feiker	include the word "Demonstrate"
				- The new Background section no longer refers to
				V&V, and includes no reference to CM - Review IEEE and ANS 3.5 for alignment of V&V
				requirements
				- Review the redefined intent of testing. Is the purpose
				is it to "indentify noticeable differences that need to be
				resolved". (responsibility Dennis)
				2012aug28 – Closed by agreement
	D.K.			

42	2012aug30: Closed		Chang	Review the use of "Because" in the first paragraph of section 5.1.2 Simulator Performance Benchmark. Consider "If" or "When". Multiple baseline data are not always available and sometimes no data is available. 2012aug30: Editorial Change AL42 is Closed
43	2013apr02: Closed by Motion	2012aug30 Avila Beach	Vick Lawter Rey Sale Tarselli Cupp Florence	 Review the AI-3 proposed Appendix for possible integration into the draft standard. Also, explore ANS Guidelines as a means to distribute the Performance Testing guidance. 2012dec13 Several versions were presented and discussed. WG agreed to continue additional discussion. 2013apr02: Proposal #1 occupied the majority time of discussion. After several hours of discussion a straw poll indicated lack of support.

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44	2012sep21: Closed by	2012aug30	Florence	AI-6 Motion Carried Simple Majority: Consult ANS-21
	Email from Carl Mazzola.			(Maintenance Operations Testing & Training)
				subcommittee for possible Substantive Change.
				2012sep21: The following reply was received from Carl Mazzola:
				This is a substantive change. Another sentence was added with a shall statement.
				AI-6 passed with a 8-For and 7-Against. Substantative change requires Consensus requiring a 75% approval. Therefore AI-6 status is Not Carried. AI-6 minutes status has been updated to: Not Carried.
				2012dec05: AI-44 is Closed
45	2012dec11: Closed	2012aug31	Chang Rey Colby Vick	New definition for human-machine interface. 2012dec11 No definition is needed for human machine interface (HMI). New AI-49 changes HMI to HSI. AI-45 is closed.
46	2012dec11: Closed	2012aug31	Petersen Goldman Fraser Rey	Review evolution limitations and Limit of simulation for continued applicability.2012dec11 A straw poll indicated no additional changes are required.AI-46 is closed.
	D.Y.	7		

47	2012dec12: Closed	2012aug31	Mcdade	Review Scope statement to include additional
			Florence	exclusions.
			Feiker	2012dec12
				Closed by Motion Revised Section 1.2 Background
48	2012dec12: Closed	2012aug31	Chang	Review the standard for extended length scenarios and
			Rey	possible guidance.
			Gagnon	
				2012dec12
				Closed. New AI-50
49	2012dec11: Closed	2012dec11	McCullough	2012dec11
				Reference AI-45
				Update the standard changing all references of human
				Closed by Motion
50		2012dec12	Florence	2012dec12
			Petersen	
			Gagnon	Update the Foreword to assure the industry that
			Rey	consideration of events such as the Fukushima event,
			Chang	extended length scenarios, EP Drills, etc. i.e. non
				standard scope scenarios were discussed and
51	Closed: 2013apr03 by	2012dec13	Coodman	2012dec13
51	Motion	201200015	Rev	201200015
			Vick	New AI-51 – Possible revision to Section 4.4.3
			Cupp	Simulator reactor core performance testing.
				Closed: 2013apr03 by Motion. Replaced Section 4.4.3
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52			Felker	2013apr05			
			Colby				
			•	Strengthen the comments:			
				Appendix B deletion			
				Section 3.1.4 Malfunction List deletion			
53			Colby	2013apr05			
				Blank Appendix Allowed?			
54			Goodman	2013apr05			
				104			
				Section 3.4 and 3.4.4 review for PEST testing			
				requirement.			
				5			
			(Evaluate the requirement to perform PEST testing in			
			\sim	section 3.4.4 in a fully integrated mode of operation.			
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4. Working Group Procedural Rules

4.1 Rules of the Chair

- Interim Voting (Motions Substantive Changes) shall be by Consensus (75% [rounded up] of quorum in session);
- The Chair rules that no Motions will be accepted when not in session;
- Administrative issues by simple majority (quorum in session);
- The Chair shall be informed of absences;
- The absent member is encouraged to send a proxy;
- A Proxy shall have voting privileges;
- Members shall attend the full length of the meeting;
- Word 7.0 shall be the document format;
- The Host shall collect and send all handout material for absent members without proxy;
- Robert's Rules of Order shall be used as a general guide;
- Guest Individual Contributors may receive working copy of the draft standard based on need;
- Chair approval shall be required for distribution of working copies of the draft standard;
- Members shall not Vote against their own non-amended Motion;
- The WG will through the course of normal business, generate confidential documentation applicable to the WG charter. As a result of this business, documentation could be released to the public through approved minutes posted on the ANS 3.5 WEB site. Other information may be released to the public as deemed appropriate by the WG Chair or Vice-Chair. In addition, information may be supplied to non-working group members on a need-to-know basis for the purpose of review and comment;
- When Abstention Votes are present the Majority (> 50%), Super Majority (2/3), Consensus (75%) levels are recalculated by subtracting the Abstention Votes count from the Members Present count;
- Non-substantive change requires Majority Vote;
- Appendices changes are non-substantives;
- Substantive requires Consensus Vote;
- Substantive Change: A substantive change in a proposed American National Standard is one that directly and materially affects the use of the standard. Examples of substantive changes are below:
 - "shall" to "should" or "should" to "shall";
 - addition, deletion or revision of requirements, regardless of the number of changes;
 - Addition of mandatory compliance with referenced standards.

4.2 Rules Enacted by the Working Group

Missing two consecutive meetings in a row without representation could result in loss of membership on the committee.

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<u>5.</u> Tuesday 2013 April 1 (0800)

5.1 Introduction (0800)

5.2 Roll Call

Members Present:

A Minutes Andres & Working Jim Florence **Bob Felker** Keith Welchel F.J. (Butch) Colby Lawrence (Larry) Vick George McCullough Frank Tarselli SK Chang Robert Goldman David Goodman Jody Lawter Mac McDade Dennis Koutouzis - Proxy Pablo Rey Jim Sale

Proxy/Visitors:

Tim Dennis William Fraser Warren Potter Bill Hendy (Proxy Koutouzis)

Consensus Level 5.3

Approved Minutes Anson Sworting Group 16 - Voting members 15 - Voting members Present (1 Proxy Vote) 8 - Quorum (Majority Total Membership) 12 - Consensus ($\geq 75\%$ votes) 10 -Super Majority ($\geq 2/3$ Votes)

8 - Majority (> 50% votes)

5.4 Motion (Carried): Shearon Harris Minutes Approval

	CV Y
Motion: Carried	
 15 - FOI 0 - Against 	
 0 – Abstained 	
Date	
2013apr02	
Motion:	AU.
Approve Shearon Harris Minutes Approve	ed version 10
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5.5 Motion (Carried): Agenda Approval

(Carried). Agenda Approvar	Plus
Motion: Carried	
• 15 – For	
 0 – Against 	6
 0 – Abstained 	
Date	
2013apr02	
Motion:	Hor
Approve Agenda Rev 0	5
s reports	ser in the second se

Officers reports 5.6

Florence (Chair)	No Report
Welchel (Secretary)	No report
Colby (Editor)	Official Two Column Document Rev 6-1
Chang (Style Editor)	No report
Vick	No report
(Parliamentarian)	
lustry Update	AMIL

5.7 Industry Update

INPO		No Update
USUG		No Update
Florence	~	
Dennis		Standards Adoption Update:
	, OY	53% - 2009
		26% - 1985
	7	21% - 1998

	Projected Adoption by end of 2013 80% - 2009 20% - Other	Group
	Use Permission Granted	6
WESTRAIN	No Update	
Goodman	-	
NEI	No Update	
Petersen		
SSNTA	No Update	

5.8 New Membership Discussion

No action taken.

5.9 ACTION ITEM 43 Simulator Performance Test Program Guideline

The following was presented for discussion:

ACTION ITEM #43

TEAM AI 43: Larry Vick, Jody Lawter, Pablo Rey, Jim Sale, and Frank Tarselli [Scott Cupp, Jim Florence]

TEAM AI 3: Larry Vick, Mike Petersen, Dave Goodman, Pablo Rey, Mac McDade, Jim Sale, and Frank Tarselli

Background

AI #43 – "Review the AI-3 proposed Appendix for possible integration into the draft standard. Also, explore ANS Guidelines as a means to distribute the Performance Testing guidance." [Refer to meeting minutes of 8/28-31, 2012, Avila Lighthouse Suite Conference Center, Avila Beach, CA. page 54.]

AI-#3 – "Consider adding Performance Test Program in [the] next standard. [Consider adding] new appendix that gives [an] example [a] Performance Testing Program." WG's AI #3 was closed with the creation of AI- #43. A draft

was presented for discussion which resulted in AI #43 being created for additional consideration.

AI-#126 – "Consider adding Performance Test Program in [the] next standard. [Consider adding] new appendix that gives [an] example [a] Performance Testing Program." WG's AI #126 was closed with the creation of AI- #3.

Explore ANS Guidelines as a means to distribute a performance test program guidance document

The following guidance documents were reviewed for direction for publication and distribution of a guidance document developed by the ANS-3.5 WG outside of the standard's development.

a) ANS BYLAWS - ARTICLE B10 - PUBLICATIONS AND PAPERS

B10.1 – Authorization – [page 16] The journals, proceedings, periodicals, books, pamphlets, reports, and other publications prepared under the auspices of the Society shall be issued in such manner as the Board of Directors may authorize.

R10.1.5 – Program Committee Review of Papers – [page 29] The manuscript for any paper to be presented at a meeting of the Society shall be submitted by the author for the consideration of the Program Committee, and shall be received not later than the date specified in the invitation to present papers. No paper shall be announced for presentation until after it has been accepted by the committee.

b) American Nuclear Society – Standards Committee – Rules & Procedures [March 14, 1985]

2.2 d. – Working Groups – [page 4] Subcommittees may establish working groups to develop proposed standards and maintain existing standards within their scope of responsibility.

c) ANSI Auditing Policy and Procedures [March 31, 2003]

2.2 d. – Working Groups – [page 4] Subcommittees may establish working groups to develop proposed standards and maintain existing standards within their scope of responsibility.

Comment

There does not appear to be any specific guidance per se to publish guidance outside of a standard under the auspices of ANS. One possible success path is for the WG to independently develop a paper for presentation at the Society's meetings. However, this approach may be outside the WG PINS scope. Another path is for a WG member, as an individual, present such a paper.

Discussion

AI #43 is a carryover action item from work on the 2009 standard. It originated from AI #126 which in turn originated from AI #3. It has been on the WG's action item list since April 5, 2003. It was initially open to explore and consider adding (in the body or in the appendices) guidance for a simulator performance test program for inclusion in the 2009 standard, but was subsequently deferred to the 201X standard revision discussions.

During the week of August 28-31, 2012, (Avila Beach, CA WG meeting) the WG was presented with a proposal by Larry Vick to add to the standard an Appendix E, "Simulator Performance Test Program Guideline" in response to AI #3. The WG discussed the merits of the proposal and subsequently closed out AI #126(AI #3) and open new AI #43 to continue discussion as well as consider other approaches at the next WG meeting.

During the week of December 1-13, 2012, (Cary, NC WG meeting) the WG continued its discussion and consideration on AI #43 with no resolution or conclusions. A majority of members present voiced their strong support for adding, either in the body or in the appendices, some type of simulator performance test program guideline. Two additional proposal Appendix E approaches were presented to the group for discussion and consideration.

Proposals presented to the WG are:

- Proposal # 1 Appendix E, "Simulator Performance Test Program Guideline" [Larry Vick]
- Proposal # 2 Appendix E, "Simulator Performance Test Program" [Jim Florence, a.k.a. Group 1]
- Proposal #3 Appendix E, "Simulator Test Program" [George McCullough/Frank Tarselli, a.k.a. Group 2]

Proposal Evaluation

Proposal # 1 – Appendix E, "Simulator Performance Test Program Guideline"

This proposal recommends a semi comprehensive guideline approach for performance testing the simulated nuclear power plant in a manner that compares the simulator's performance to the referenced unit's performance (actual or predicted). No specific methodology for conducting any given tests is prescribed.

Strengths

- Mirrors, for the most part, actual performance tests found in facility licensee's safety analysis reports and or commercial operations as well as certain accidents for which the referenced unit is designed against.
- Scope of the performance testing guideline demonstrates the full capability of the simulated nuclear power plant over the entire operating range for which operators and senior operators may encounter in the actual main control room while on duty or on their license initial or requalification operating tests.
- Provides the end user assurance that the simulated nuclear power plant is capable of demonstrating the performance and fidelity requirements of the standard as well as demonstrating [regulatory] expected plant response to operator input and to normal, transient, and accident conditions to which the simulator has been designed to respond.
- Has strong technical merit utilizing the same type of tests or evolutions performed/predicted on the referenced unit.
- Test Program is perpetual with periodicity already established by the standard.

Weaknesses

- Initial implementation may be time consuming [may not be if already performing tests identified in the guideline].
- May be construed [conjecture] as a requirement even though it is not (it is provided as information only).
- May be construed as more comprehensive than current testing programs.

Proposal # 2 – Appendix E, "Simulator Performance Test Program"

This proposal recommends a guideline approach to performance testing the simulated nuclear power plant in a manner that only considers Section 3.4.3.1/4.4.3.1 through 3.4.3.4/4.4.3.4 for comparison of the simulator's
performance to the referenced unit's performance (actual or predicted). Specific tests frequency/periodicity is annotated. No specific methodology for conducting any given tests is prescribed.

Strengths

- Demonstrates some of the capability of the simulated nuclear power plant over a defined operating range for which operators and senior operators may encounter in the actual main control room while on duty or on their license initial or requalification operating tests.
- Provides the end user assurance that the simulated nuclear power plant is capable of demonstrating Section 3.4.3.1/4.4.3.1 through 3.4.3.4/4.4.3.4 of the standard's performance and fidelity requirements.

Weaknesses

- Very limited demonstration of simulator's capability and performance as a nuclear power plant
- May be construed [conjecture] as a requirement even though it is not (it is provided as information only).
- May be construed as less comprehensive than current testing programs.

Proposal # 3 – Appendix E, "Simulator Test Program"

This proposal recommends a more robust approach [than Proposal #2] to performance testing the simulated nuclear power plant in a manner that only considers Section 3.4.3.1/4.4.3.1 through 3.4.3.4/4.4.3.4 plus Sections 3.1.1 through 3.1.3, 3.2.1.1 through 3.2.1.3, and 3.3.1 through 3.3.5. Specific tests frequency/periodicity is annotated. No specific methodology for conducting any given tests is prescribed.

Strengths

- Demonstrates some of the capability of the simulated nuclear power plant over a defined operating range for which operators and senior operators may encounter in the actual main control room while on duty or on their license initial or requalification operating tests.
- Provides the end user assurance that the simulated nuclear power plant is capable of demonstrating Section 3.4.3.1/4.4.3.1 through 3.4.3.4/4.4.3.4 of the standard's performance and fidelity requirements.

Weaknesses

- Very limited demonstration of simulator's capability and performance as a nuclear power plant
- May be construed [conjecture] as a requirement even though it is not (it is provided as information only).
- May be construed as less comprehensive than current testing programs.
- Adds other non-operations types of testing [such as those describe in Section 3.1.1, 3.1.2, 3.2.1 through 3.2.1.3] and for which the operator and senior operator has no input but are unique to simulators.

PROPOSAL #1

Appendix E

(This appendix is not a part of American National Standard "Nuclear Power Plant Simulators for Use in Operator Training and Examination," ANSI/ANS-3.5-2009, but is included for information purposes only.)

Simulator Performance Test Program Guideline

The purpose of this appendix is to provide a simulator performance test program guideline for demonstrating the functional requirements and criteria of the standard to which the full-scope simulator has been designed to respond as compared to actual or predicted reference unit performance. Implementation of this guideline ensures the simulator's demonstrated capability and performance is sufficient in scope and fidelity to allow conduct of evolutions associated with nuclear power plant operator licensing training and examinations applicable to the design of the reference unit.

A.1 Simulator Performance Test Program Overview

This simulator performance test program ensures that simulated components, equipment, and systems perform in accordance with reference unit design criteria; that nuclear and thermo-hydraulic behavior is observed and confirmed; and, that the simulated power plant can be safely started up from cold ambient conditions and brought to rated full power capacity and then safely shutdown under all expected operational conditions to which the simulator has been designed to respond.

During the conduct of evolutions described in this appendix, the simulated nuclear power plant is to be operated in the

same manner as the reference unit using relevant plant operating procedures and acceptance standards and criteria. Procedure administrative holds such as peer checking, approvals, and permissions are assumed given (e.g., waived) so that continued operation of the simulated power plant may be conducted in an expeditious manner. Operating procedure precautions and limitations should be adhered to at all times unless the scope of simulation precludes such compliance.

A.2 Test Personnel Qualifications, Functions, and Responsibilities

Test personnel used for the conduct of simulator performance tests should have the requisite knowledge, skills, and abilities to carry out the functions of a nuclear power plant operator and senior operator and to identify simulator performance discrepancies (both modeling and hardware discrepancies).

A.3 **Pre-Testing Assumptions**

This simulator performance test program assumes the full-scope simulator is in a "Ready-for-Training" state. The test program described in this appendix does not allowed nor credit any other type of performance testing such as off-line tests and or vendor factory acceptance tests. Only simulator initial condition sets developed and maintained from a validated base line initial condition set should be used when conducting the performance tests describe in this appendix. Simulated electrical power loads, instrument and station air loads, station closed cooling water and service water loads have been verified and validated beforehand. Finally, simulated local operator actions (e.g., remote functions) have been verified, validated, and properly aligned in all initial condition sets.

A.4 Tests Documentation

Simulator performance tests documentation should specify the test objective, applicable prerequisites, general test method, and acceptance criteria. For example, regarding general test method for XYZ system, verification of XYZ system capability is demonstrated by the integrated operation of the following: logic and interlocks as specified in system elementary diagrams, XYZ system pumps, including auto initiation; flow path verification, and annunciators.

B.1 Performance Testing Scope

Simulator performance testing is generally associated with the time period following fuel loading (may be initial and or subsequent fuel cycle) and extending through 100% power. For purposes of this guideline, the following types of

reference unit performance test items should be performed on the simulated nuclear power plant to which the simulator has been designed to respond:

B.1.1 Stability Tests

The following group of stability tests demonstrates expected overall plant stability in relation to minor perturbations caused by a step change in a controlled parameter of interest:

<u>BWR</u>

Core-power-void mode (e.g., flux response to control rod movement)

Pressure regulator set-point changes

Pressure backup regulator change

Reactor water level set-point changes

Feedwater heater loss

Turbine valve surveillance

Reactor recirculation flow control

<u>PWR</u> (To be determined)

B.1.2 Major Transients Tests

The following group of major transient tests demonstrates expected overall plant performance t:

<u>BWR</u>

Feedwater pump trip MSIV closure (one valve) MSIV closure (all valves) Turbine-generator (TG) stop valve fast closure Turbine-generator control valve fast closure Reactor recirculation pump trip (one) Reactor recirculation pump trip (two) Loss of TG and offsite power

<u>PWR</u> (To be determined)

B.2 Reference Plant Safety Analysis Related Tests

B.2.1 Abnormal Operational Occurrences (AOOs)

AOOs are conditions of normal operation expected to occur one or more times during the life of the plant.

B.2.2 Accidents

Accidents are postulated events that may affect one or more of the barriers to the release of radioactive material to the environs. These events are not expected to occur during the life of the plant but are used to establish the design basis for many systems.

B.2.3 Special Events

Special events are postulated occurrences analyzed to demonstrate different plant capabilities required by regulatory requirements and guidance, industry codes and standards, and licensing commitments applicable to the plant. (require failure assumptions in excess of AOOs and accidents / encompasses some events that are not considered credible)

B.3 Normal Plant Operations

- **B.3.1** Startup to rated full power conditions
- **B.3.2** Rated full power conditions to cold shutdown
- **B.4** Simulator Malfunction Performance Tests (stand-alone / scenario based testing)
- **B.5** Local Operator Actions Tests
- **B.6** Simulated Reactor Core Performance Testing

B.7 Miscellaneous Tests

PROPOSAL #2

Appendix E

(This appendix is not a part of American National Standard "Nuclear Power Plant Simulators for Use in Operator Training and Examination," ANSI/ANS-3.5-201x, but is included for information purposes only.)

Simulator Performance Test Program

E.1 Purpose of Simulator Performance Test Program

The purpose of this appendix is to provide a uniform approach to demonstrate the functional and physical requirements described in Sec. 3.4 that corresponds to the testing and validation requirements described in Sec. 4.4.

E.2 Performance Test Program Overview

A performance test program provides an opportunity to identify noticeable differences between the simulator control room or simulated systems when evaluated against the control room or systems of the reference unit.

A performance test program ensures that the simulator performs in accordance with reference unit design criteria. The simulator is expected to operate in the same manner as the reference unit using applicable plant operating procedures and acceptance criteria.

E.3 Qualification and Responsibilities of Test Personnel

Test personnel selected to conduct simulator performance tests should have the requisite knowledge, skills, and abilities to identify simulator performance discrepancies (both modeling and hardware discrepancies).

E.4 Performance Test Prerequisites

The simulator shall be tested in a fully integrated mode of operation. Simulator initial conditions utilized for

performance testing should be developed from a validated baseline initial condition set.

E.5 Performance Test Documentation

The documentation of simulator performance criteria and simulator testing should follow direction provided in Appendix A, Section A.4, Simulator Test Documentation.

E.6 Performance Tests & Frequency

The following table identifies the performance tests and the required test frequency.

Performance Test Type	ANS-3.5-201x Reference	Test Frequency
Operability Tests (simulator steady-state and transient test performance)	Section 3.4.1/4.4.1	Once per reference unit fuel cycle.
Scenario-based Test	Section 3.4.2/4.4.2	 NRC Initial License Examination scenarios; Licensed Operator Requalification annual examination scenarios; scenarios used for reactivity control manipulation experience.
Reactor core performance test	Section 3.4.3/4.4.3	Each reference unit fuel cycle.
Post-event simulator test	Section 3.4.4/4.4.4	When a reference unit event generates relevant data for evaluating simulator performance.
PROPOSAL #3		

Appendix E

(This appendix is not a part of American National Standard "Nuclear Power Plant Simulators for Use in Operator Training and Examination," ANSI/ANS-3.5-201x, but is included for information purposes only.)

Simulator Test Program

E.1 Purpose of Simulator Test Program

The purpose of this appendix is to provide a uniform approach to demonstrate the functional and physical requirements described in Sec. 3 that corresponds to the testing and validation requirements described in Sec. 4.

E.2 Test Program Overview

A test program provides an opportunity to identify noticeable differences between the simulator control room or simulated systems when evaluated against the control room or systems of the reference unit.

A test program ensures that the simulator performs in accordance with reference unit design criteria. The simulator is expected to operate in the same manner as the reference unit using applicable plant operating procedures and acceptance criteria.

E.3 Qualification and Responsibilities of Test Personnel

Test personnel selected to conduct simulator performance tests should have the requisite knowledge, skills, and abilities to identify simulator performance discrepancies (both modeling and hardware discrepancies).

E.4 Test Prerequisites

The simulator shall be tested in a fully integrated, partially integrated, or stand-alone mode of system operation as noted in the table below. Simulator initial conditions utilized for testing should be developed from a validated baseline initial condition set.

E.5 Test Documentation

The documentation of simulator performance criteria and simulator testing should follow direction provided in Appendix A, Section A.4, Simulator Test Documentation.

E.6 Tests & Frequency

The following table identifies the performance tests and the recommended test frequency.

Section	Section 3.x	Section 4.x Frequency	Mode of Operation
	3.1.1 Real time and repeatability	 upon completion of simulator initial construction; once per reference unit fuel cycle 	Fully Integrated
3.1 Simulator capabilities	3.1.2 Limits of simulation	 upon initial implementation of limits of simulation; whenever there is a change or modification to the limits of simulation 	Any
x	3.1.3 Steady-state and normal evolutions	Once per unit fuel cycle	Fully Integrated
09	3.1.3.1 Steady-state operation	Once per unit fuel cycle	Fully Integrated
E.	3.1.3.2 Normal	1. upon completion of	Fully
₩	evolutions	simulator initial	Integrated

		 construction; 2. once per reference unit fuel cycle for items (1) through (3) listed in Sec. 3.1.3.2. 	Crotil	
	3.1.4 Malfunctions	 upon initial implementation of a malfunction; whenever there is a change or modification to a malfunction 	Any	
3.2 Scope of simulation	 3.2.1 Physical fidelity and human factors 3.2.1.1 Scope of operator interfaces 3.2.1.2 Instrumentation, controls, markings, and operator aids 3.2.1.3 Control room environment 	 upon completion of simulator initial construction; once every four years 	Any	
3.3 Simulator instructor station capabilities	3.3.1Initial conditions3.3.2Malfunctions3.3.3Other features3.3.4Local operator actions3.3.5Data collection	 upon initial implementation of a simulator instructor station capability; whenever there is a change or modification of an instructor station capability 	Any	
3.4 Simulator	3.4.1 Simulator	1. simulator steady-state	fully	

performance testing	operability testing	 performance; 2. simulator transient performance for a benchmark set of transients 	integrated	
	3.4.2 Simulator scenario-based testing	 NRC Initial License Examination scenarios; Licensed Operator Requalification annual examination scenarios; scenarios used for reactivity control manipulation experience 	fully integrated	
	3.4.3 Simulator reactor core performance testing	each reference unit fuel cycle	fully integrated	
	3.4.4 Post-event simulator testing	when a reference unit event generates relevant data for evaluating simulator performance	fully integrated	

Benefits:

Propose a uniform approach to testing. Move the industry to a common approach.

Concerns

Prescriptive

Is this AI possibly moving in the areas simulator users groups (e.g. USUG, SSNTA, MANTAG, etc) have traditionally fulfilled. A Standard is not a procedure.

Possibly develop new standard body language instead of adding a new appendix.

Tiger team will develop additional language.

Performance Test Program Attributes

- Tests Identification
- ٠
- ٠
- •
- ٠

Page 48

- ٠
- Test personnel qualification •

Testing presently required in the Standard

	Stars Alliance Building, Goodyear AZ 2013 April 1-5
rmance Test Program A Tests Identification Comparison to data (Acceptance criteria Test periodicity Documentation Assumptions/simplif Test personnel qualif	ttributes Section 5 list)
Capabilities Malfunction	Performance
Real-time	PEST
Repeatability	Core
Limit of simulation	Operability • Steady State
Normal Evolutions	Transient
Performance-based	
Mod-based	
Appro	ved Minute

5.10 Motion: Close AI-43 with no additional discussion

Motion: Carried	A K
• 11 – For	6
• 4 – Against	6
0 – Abstained	
Date	
2013apr02	
Motion: Close AI-43 with no further discussion.	
Reason: Proposal #1 occupied the majority time of straw poll indicated lack of support.	discussion. After several hours of discussion a

Reason against:

- Additional discussion is valuable. A little more discussion could possibly add value to the standard.
- The discussion did not vet Proposal #2 and proposal #3.
- Industry could benefit with Test Program guidance

AI-43 is Closed.

5.11 AI-51 Goodman Core Performance Testing

Why is Core performance testing different:

- 10CFR 55.46 requires Simulator Core response to reference unit replication
- "Replication"
- Industry requesting additional standard for new regulatory language

A change to ANS 3.5 section 4.4.3 will be proposed at the ANS 3.5 Working Group meeting in Goodyear, Arizona on April 2, 2013. The intent of the proposed change is to better align core performance testing with regulatory requirements and standard industry practice. The following table contains the existing wording and proposed

wording for each section:	<u>S</u>
4.4.3 Simulator reactor core performance testing	C.LOW
Existing Wording	Proposed Wording
Simulator reactor core performance testing shall be conducted each reference unit fuel cycle. Testing shall be performed in accordance with the reference unit procedures and shall be compared and demonstrated to replicate the response of the reference unit. It shall be demonstrated that the simulator response during conduct of simulator reactor core performance testing meets the reference unit procedures' acceptance oritoria	Simulator reactor core performance testing shall be conducted each reference unit fuel cycle. Successful completion of simulator core performance testing is demonstrated when the test results agree with actual or predicted results within predetermined test acceptance criteria. A comprehensive set of tests shall be selected based on the ability to quantitatively measure
A record of the conduct of this test and its evaluation shall be maintained.	important nuclear and thermal-hydraulics characteristics for which data is available accurate predictions from the core designer are available. When major changes are made in the core design, the selected tests shall should be reviewed to determine if core testing changes are required more extensive testing is needed.
(110 ²	A record of the conduct of this test and its evaluation shall be maintained.

This change to ANS 3.5 section 4.4.3 is being proposed because the current requirement to use reference unit procedures for core performance testing:

- A. Is not consistent with regulatory requirements
- B. Is not consistent with standard industry practice prior to ANS 3.5 2009
- C. Is not adequate for simulator core model validation
- D. Creates an unnecessary burden on simulator owners

E. Does not result in consistent validation across all facilities, and

Does not account for simulator core model pedigree

These reasons are discussed in more detail below.

Facility licensees that propose to use a plant-referenced simulator to meet the control manipulation requirements in Sec. 55.31(a)(5) must ensure that:

i. The plant-referenced simulator utilizes models relating to nuclear and thermal-hydraulic characteristics that replicate the most recent core load in the nuclear power reference plant for which a license is being sought; and

ii. Simulator fidelity has been demonstrated so that significant control manipulations are completed without procedural exceptions, simulator performance exceptions, or deviation from the approved training scenario sequence.

AI-51 discussion will resume on Wednesday.

5.12 Recessed: 1705

Approved Minutes t

<u>6.</u> Wednesday 2013 April 3 (0800)

6.1 Roll Call

Members Present:

Minutes ANSA SWORTING Jim Florence **Bob** Felker Keith Welchel F.J. (Butch) Colby Lawrence (Larry) Vick George McCullough – Proxy Frank Tarselli SK Chang Robert Goldman David Goodman Jody Lawter Mac McDade Dennis Koutouzis - Proxy Pablo Rey Jim Sale

Proxy/Visitors:

Tim Dennis William Fraser (Proxy McCullough) Bill Hendy (Proxy Koutouzis) Majid Saba (Palo Verde) William Hendricsen (Palo Verde)

6.2 Consensus Level

16 - Voting members
15 - Voting members Present (x Proxy Votes)
8 - Quorum (Majority Total Membership)
12 - Consensus (≥ 75% votes)
10 - Super Majority (≥ 2/3 Votes)
8 - Majority (> 50% votes)

6.3 AI-51 Goodman Core Performance Testing (continued)

Presentation and discussion:

4.4.3 Simulator reactor core performance testing

Existing Wording

Simulator reactor core performance testing shall be conducted each reference unit fuel cycle. Testing shall be performed in accordance with the reference unit procedures and shall be compared and demonstrated to replicate the response of the reference unit.

It shall be demonstrated that the simulator response during conduct of simulator reactor core performance testing meets the reference unit procedures' acceptance criteria.

Proposed Wording

1 online croup

Simulator reactor core performance testing shall be conducted each reference unit fuel cycle. Successful completion of simulator core performance testing is demonstrated when the test results agree with predicted results within predetermined test criteria.

A comprehensive set of tests shall be selected based on the ability to quantitatively measure important nuclear and thermal-hydraulics characteristics for which accurate predictions from the core designer are available. When major changes are made in the core design, the selected tests should be reviewed to determine if more extensive testing is needed.

A record of the conduct of this test and its evaluation shall be maintained.

A record of the conduct of this test and its evaluation shall be maintained.

History – Core changes were not considered design change and therefore did not fall within the required testing for design changes.

One member discussed that changing fuel vendor causes significant changes in core flux maps.

Plant procedures, for core model testing, are not adequate for testing the simulator core. Plant procedures are not designed for validating a simulator core.

The MANTG Simulator Core Evaluation Criteria whitepaper was presented for observation by the working group of the significant number of changes the document underwent after the publication of ANS 3.5-2009.

The following was presented as points for consideration

This change to ANS 3.5 section 4.4.3 is being proposed because the current requirement to use reference unit procedures for core performance testing:

- F. Is not consistent with regulatory requirements
- G. Is not consistent with standard industry practice prior to ANS 3.5 2009
- H. Is not adequate for simulator core model validation
- I. Creates an unnecessary burden on simulator owners
- J. Does not result in consistent validation across all facilities, and
- K. Does not account for simulator core model pedigree

Wine Grout William Fraser will Proxy for George McCullough. Consensus level remains the same:

- 16 Voting members
- 15 Voting members Present (2 Proxy Votes)
- 8 Quorum (Majority Total Membership)
- 12 Consensus ($\geq 75\%$ votes)
- 10 -Super Majority ($\geq 2/3$ V

AI-51 () Motion Replace Section 4.4.3 6.4



- x For
- x Against
- x Abstained ٠

Name

2013apr03

Motion:

Replace Section 4.4.3 with the following

4.4.3 Simulator reactor core performance testing

Simulator reactor core performance testing shall be conducted each reference unit fuel cycle. A set of tests shall be selected based on the ability to quantitatively measure relevant nuclear and thermalhydraulic parameters. Successful completion of simulator core performance testing is demonstrated when the test results agree with actual or predicted reference unit performance within predetermined acceptance criteria.

A record of the conduct of this test and its evaluation shall be maintained.

Reason:

This change to ANS 3.5 section 4.4.3 is being proposed because the current requirement to use reference unit procedures for core performance testing:

- Is not consistent with regulatory requirements ٠
- Is not consistent with standard industry practice prior to ANS 3.5 2009 ٠
- Is not adequate for simulator core model validation
- Creates an unnecessary burden on simulator owners ٠
- Does not result in consistent validation across all facilities, and
- Does not account for simulator core model pedigree ٠

This motion was amended.

Approved Minutes Ars

6.5 AI-51 (Carried) Amended Motion Replace Section 4.4.3

Carried) Amended Motion Replace Section 4.4.3
Motion: Carried
• 14 – For
• 1 – Against
0 – Abstained
Name
2013apr03
Motion:
Replace Section 4.4.3 with the following
4.4.3 Simulator reactor core performance testing
Simulator reactor core performance testing shall be conducted each reference unit fuel cycle. Tests shall
be established that quantitatively measure relevant nuclear and thermal-hydraulic parameters. It shall be
demonstrated that simulator core performance meets actual or predicted reference unit performance within predetermined acceptance criteria.
A record of the conduct of this test and its evaluation shall be maintained.
Reason:
The current wording may not provide adequate guidance for all reactor types.

Against: Current 4.4.3 wording is sufficient and does not require modification.

AI-51 is Closed.

6.6 (Not carried) Motion Replace Section 3.4

Motior	: Not Carried
•	3 – For
•	9 – Against
•	3 – Abstained
Name	
2013a	pr03
Motio	n:
Replac	e Section 3.4 with the following
-	3.4 Simulator performance testing
	Simulator performance testing shall be conducted to identify noticeable differences between the simulator
	control room or simulated systems when evaluated against the control room or systems of the reference
	unit. Noticeable differences shall be assessed in accordance with Sec. 5.
	Simulator performance testing comprises operability testing, scenario-based testing, reactor core
	performance testing, and post-event simulator testing.
Reaso	on:
PE	ST does not necessarily require fully-integrated mode of operation.
SB	T is the only test that requires a fully-integrated mode of operation.
Ste	ady-state does not require hard panels i.e. fully-integrated mode of operation
Di	scussion:
I/C) and stimulated devices integration and interaction
	aratar instag
Op	

Against:

SBT requires the fully-integrated mode of operation.

New design DCS stimulated systems represent a challenge for non-fully integrated mode of operation. Emulated systems are not sufficient.

AKIN?

Removing the requirement in 3.4 excludes this requirement for test that need the requirement.

This change is taking an axe and cutting the requirement out for all performance test. Some cases make sense, but a blanket removal is unwarranted.

Abstained:

Motion has merit but panels are needed for some evolutions.

6.7 (Carried) Add missing footnote to Section 4.4.3

Motion: C	Carried
• 14	1 – For
• 1	– Against
• 0 •	– Abstained
Name	
2013apr0	3
Motion:	SAL
Add footn	note to the last sentence in Section 4.4.3
Aj	ppendix A provides examples of acceptable simulator performance test documentation.
Reason:	1 Dir
The fo	potnote was inadvertently excluded in the AI-51 Carried Motion.

Reason Against: The footnote is unnecessary

6.8 Recessed: 1640

ANS 3.5 Working Group Meeting Minutes Stars Alliance Building, Goodyear AZ 2013 April 1-5 Minutes Mass. Working Group

7. Thursday 2013 April 4 (0800)

7.1 Roll Call

Members Present:

Jim Florence Bob Felker (Absent) Keith Welchel F.J. (Butch) Colby Lawrence (Larry) Vick George McCullough - Proxy Frank Tarselli SK Chang Robert Goldman David Goodman Jody Lawter Mac McDade Dennis Koutouzis - Proxy Pablo Rey Jim Sale

Proxy/Visitors:

Tim Dennis William Fraser (Proxy McCullough) Bill Hendy (Proxy Koutouzis) William Hendricsen (Palo Verde)

Montine

7.2 Consensus Level

- 16 Voting members
 14 Voting members Present (x Proxy Votes)
 8 Quorum (Majority Total Membership)
 11 Consensus (≥ 75% votes)
 10 Super Majority (≥ 2/3 Votes)
 8 Majority (> 50% votes)
- 7.3 Draft Standard Preparation Assignments

Tech edit lead: Chang

Tech editing

7.4 (Chang) Technical Edits:

Two technical edits were presented and accepted by the Chair and incorporated into the Two Column Rev 7 document prior to today's read:

1. Sec. 3.3.3, last sentence

"For components that store historical data or whose performance is dependent on history, requirements for freeze, run, initial condition reset, snapshot, backtrack and exam security shall be included." Change "exam security" to "examination security".

Reasons: a. " Examination" is formal, "exam" is not. The Standard is a formal document.

b. consistent with wording used elsewhere is the Standard.

2. Sec. 6

"Code of Federal Regulations, Title 10, "Energy," Part 55, "Operators' Licenses," available from Superintendent of Documents, Government Printing Office, Washington, D.C. 20402"

Changed to

"Code of Federal Regulations, Title 10, "Energy," Part 55, "Operators' Licenses," available from Ington, L. Superintendent of Documents, Government Printing Office, Washington, D.C. 20402 or NRC public website

7.5 **Consensus Level**

- 16 Voting members
- 15 Voting members Present (2 Proxy Votes)
- 8 Quorum (Majority Total Membership)
- 12 Consensus (\geq 75% votes)
- 10 -Super Majority ($\geq 2/3$ Votes)
- 8 Majority (> 50% votes)

7.6 (Carried) Formal Read

Motion: Carried
• 14 – For
• 1 – Against
0 – Abstained
Name
2013apr04
Motion:
Conduct a Formal Read of Draft Standard Two Column Document Rev 7b:
TwocolumnChange2009-Rev7b-draft.doc

Reason:

Prepare for Single Column Document ready for approval.

7.7 Initial Read and Parking Lot Items:

- Global
 - Globally referencing sections by title consistency. Some have the name and some do not. (Goodman/Chang)
 - 1.2 10CFR55 reference allowed? Why? (Florence)
 - Passive failure is no longer used in the standard. Definitions Section review is required. (Goodman/Chang)
 - Reference unit: review use of "docket number" since docket number does not apply to international simulators. (Chang/Rey)
 - AI owners ensure detailed notes are incorporated so that an un-informed reader can understand. (Florence/Colby/Felker)
 - Definition Replay and Fasttime: Replay is the playback of a recorded session and not the recording of the session.(Lawter/Fraser)
 - Review and possibly expand stimulated components to include other types such as emulated, hybrid, etc. (Felker/Tarselli)
 - Why are Normal Evolutions required testing Once Per Fuel Cycle (Felker/Hendricsen)
 - Review all numbered list for correct format (e.g. 1) (1) 1.) (Fraser/Chang)
 - Review the use of parametric. Is it outdated. (Lawter/Fraser)
- Definitions
 - Initial Condition (Fraser/Tarselli)

 - Snapshot (Fraser/Tarselli)
 - "Benchmark" definition needed? (Hendy/Goodman) (Already covered AI-37)
 - Definition needed for "scenario" (Hendy/Vick)
- Section 3
 - 3.1, 3.1.4, 3.3.5, 4.3.5 "Testing Requirements." should be changed to "Testing requirements."
 - 3.1.3.2 Why is "operator-conducted surveillance testing on safety related equipment or systems" in the list. (Colby/Felker)
 - 3.1.3/A.1.3 Item 4 Use of "reach, exceed and exceeded" use consistency (Chang)
 - 3.3.2 Delete everything starting with the word "and". Modify the first sentence (Tarselli/Rey)
 - 3.3.2/4.3.4 remove the word "licensed" and just use "accredited operator training programs" (Tarselli/Rey/Hendy)

- 3.2.2.1 change "describe" to "require" for consistency (Tarselli)
- 3.1.4 Semi-colon needs at the end of bullet 1
- 3.1.4 INPO SOER is no longer used... IER. (Hendy/Vick)
- 3.1.4 Consider adding DCD, new Builds have DCD in addition to FSAR (Felker/Lawter)
- 3.2.2.2 Is a scoping section. The last sentence has nothing to do with the topic. Consider deleting the last sentence. (Felker/Fraser/Hendricsen)
- 3.3.4/4.3.4 Multi-unit interaction. No test criteria for Multi-Unit testing (Felker/Goodman)
- 3.4/5.2.3.2 Stand-alone mode may need a definition (Felker/Goodman/Tarselli)
- 3.4.2 Modify the last sentence to include "evaluated scenarios" (Hendy/Tarselli)
- 3.1.3 does instrumentation cover DCS HSI type devices (see 3.2.1.2 and 4.2.1.2) (Felker/Rey/Tarselli)
- Non-existent systems do not have number. No corresponding section 3 to 4 or 4 to 3. Example 4.1.3.1.1 and no 3.1..3.1.1 (Rey/Fraser)
- Section 4
 - 4.1.2 Add period at the end of bullet 2 in Section 4.1.2
 - 4.1.3.1.1 remove: Note: This was changed in later meetings; (Colby)
 - 4.1.3.1 are all parameters applicable to all designs (Florence/Goldman)
 - 4.1.3.1.4 two parameters in one bullet: "control rod drive system flow and temperature; (Tarselli)
 - 4.1.3.2 bullet 6 needs a period at the end of the sentence.
 - 4.4.3 Use of Predicted versus using Best Estimate that is defined. Predicted is not defined. (Hendy/Goodman)
 - 4.2.1 bullet 2 needs period
 - 4.3 missing period bullet 2
 - 4.3.3 Second paragraph, change defined to identified (Goodman)
 - 4.4. Second Paragraph, second sentence "maintain records" is duplicated in the sub sections. It's in three of the four. Make consistent. (Goodman/Welchel)
 - 4.1.3.1 Footnote 6 and 7 are incomplete (Goodman/Colby)
 - 4.3.5 the plot may no longer be a common use term. Review for possibly removal (Fraser/Goldman)
 - 4.3.2 reword for clarity. First line needs work. (Fraser/Goldman)
 - 4.2.2/4.1.3 No periodicity (Rey/McCullough)
 - 4.4.1/4.1.3.1 repeating testing requirements steady-state operation and for operability testing. Reference AI-9 (Rey/Vick/Felker)
 - 4.4.1/5.2.3.2 Second paragraph use "best estimate" versus "predicted" (Hendy/Goodman)

- 4.3.4 Review for clarity: The introduction of the local operator action shall not alert the operators to pending events other than by indications that would occur in the reference unit. (Chang/Vick)
- 4.4 remove "NOTE: Moved from section 4.4.3" (Colby)
- Section 5
 - 5.3 Item 6 start the sentence with "the" (Rey/Colby)
 - 5.1.1 was "Current approved software" intentionally deleted. Review the original motion (Goodman)
 - 5.3 item 6 add "knowledge and" before skills (Chang/Goodman)
 - 5.2.3.1 Should there be a statement that Verification testing is needed before use in operator training. (Chang/Goodman)
 - Review Section 5 for use of sub numbering: e.g. (1) versus 1 (Sections 5.1.2, 5.2, 5.2.2. (Chang)
 - Remove "periods" from section titles e.g. 5.2.3, 5.2.3.1, 5.2.3.2, 5.2.4, 5.3. (Colby)
 - 5.3 is a TNA required for each discrepancy? (Florence/Goodman)
 - 5.2.2 paragraph implies a plant modification could be considered a discrepancy (Florence/Goodman)
 - 5.2.3 "affect" or "affects"? (Chang)
- Appendices
 - Review Appendix A for continued use
 - A.1 should "evaluation" be "examination"? (Florence/Chang)
 - A.1.1 Items (1) through (3) why? (Florence/Welchel)
 - Appendix A.2 review for clarity Structure (Rey); does it align appropriately with Section 5? (Florence)
 - A.3 capitalization of items (1) through (3)?? Florence
 - Appendix A.3 Simulator documentation The bullets need to be reviewed for correct grammar, punctuation. (Hendy/Chang)
 - Appendix A.2 the list may be outdated (e.g. annunciators book, process computer book). The list should be bought up to date. The Appendix A List in general need consideration (Felker/McDade)
 - Appendix A.2 review for clarity. Structure. (Rey)
 - Re-designate Appendices. The content in Appendix B was deleted only. (Colby).
 - Appendix C Examples: words following ";" should not be capitalized. Some grammatical restructuring may be required in this section. (Florence/Chang)
 - D.2 3.1.3 the word discussion is in () why? (Chang/Goodman)
 - D.1. Second paragraph third sentence change "analysis of training requirement" to "a training needs assessment." (Lawter/Goodman)
 - D.2 Section 3.1.4: delete "list of malfunctions". (Florence)
 - D.2 Sections 3.2.1.1 and 4.2.1.1 change tile of this section. (Colby/Chang)

7.8 (Carried) Motion Replace Section 3.4

Motior	: Carried
•	10 – For
•	3 – Against
•	2 – Abstained
Name	
2013a	pr04
Motio	n:
Replac	e Section 3.4 with the following
	3.4 Simulator performance testing
	Simulator performance testing shall be conducted to identify noticeable differences between the simulator
	control room or simulated systems when evaluated against the control room or systems of the reference
	unit. Noticeable differences shall be assessed in accordance with Sec. 5.
	Simulator performance testing comprises operability testing, scenario-based testing, reactor core
	performance testing, and post-event simulator testing. Operability testing, scenario-based testing, and
	post-event simulator testing shall be performed in a fully integrated mode of operation. Reactor core
	performance testing may be conducted in a fully integrated, partially integrated, or stand-alone mode of
	system operation.
_	
Reasc	
an	I is the only test that requires a fully-integrated mode of operation.
SB	adv state does not require hard nanels i.e. tully integrated mode of operation
SB Ste	ady-state does not require hard panels i.e. runy-integrated mode of operation

Contrary to regulations, simulator testing is a conduct of performance testing. The new wording does not constitute performance testing.

These tests require the panels/meters/lights to see the full simulator response.

Abstained:

PEST also can be completed in a non-fully integrated mode. Not comfortable making this significant of a change at this time in the standards development.

7.9 (Carried) Resume Formal Read

Motion: Carried				
• 13 – For				
2 – Against				
0 – Abstained				
Name				
2013apr04				
	5			
Motion:	C.			
	~12			
Conduct a Formal Read of Draft Standard Two Column Document Rev 7c:				
TwocolumnChange2009-Rev7c-draf	ft.doc			
Reason:				
Prepare for Single Column Docun	ient ready for approval.			

Reason Against: Unnecessary for an understanding of the draft standard.

7.10 Proposed Draft Standard Concerns - NRC Representative

As the NRC representative on ANS 3.5 WG, I have a responsibility to express views that are consistent with the agency views and strive to reconcile key issues between the WG and agency views on WG actions that could, if allowed to stand, impede agency agreement with or endorsement of a standard. My participation on the WG does not necessarily connote agency agreement with, or endorsement of, decisions reached by the WG. Standards are not approved for use within the NRC regulatory framework until they have been endorsed.

Before the WG completes actions on its proposed draft revision of the standard, the WG should be cognizant of the fact that the NRC

reserves the right to apply conditions on the use of consensus standards that it uses in its regulatory process when, in its view, the consensus standard does not adequately address a specific regulatory issue, the standard is not sufficiently supported by relevant technical information, or it is inconsistent with current regulations or policy.

Based on a review of the WG meeting minutes and actions taken to date, there are three areas of concern with the proposed draft standard. They are:

- Reduction of ANSI/ANS-3.5-2009 scope in Section 1.1, "Scope"
- Deletion of ANSI/ANS-3.5-2009 required malfunctions in Section 3.1.4, "Malfunctions"
- Deletion of ANSI/ANS-3.5-2009 Appendix B, 'Guidelines for the Conduct of Simulator Operability Testing"

Reduction of ANSI/ANS-3.5-2009 scope in Section 1.1, "Scope"

ANSI/ANS-3.5-2009 [as well as all previous versions: -1998; -1993; -1985; and; -1981], Section 1.1 scope applies to full-scope nuclear power plant control room simulators for use in operator training and examination. There is no scope applicability distinction between simulators that are or are not subject to regulatory bodies. However, the proposed draft standard Section 1.1, "Scope" statement significantly reduces the total number of simulators for which the current standard now applies to only domestic [US] simulators subject to NRC regulation. This is a substantial scope applicability reduction since international full-scope nuclear power plant control room simulators are excluded.

- ANSI/ANS-3.5-2009 Section 1.1, "Scope" statement reads: This standard establishes the functional requirements for fullscope nuclear power plant control room simulators for use in operator training and examination...
- Proposed draft standard Section 1.1, 'Scope' statement reads: This standard establishes the functional requirements for fullscope nuclear power plant control room simulators that are subject to U.S. Nuclear Regulatory Commission (NRC) regulation for use in operator training and examination...
 - Refer to WG's January 25-27, 2011 meeting minutes, Crystal River Nuclear Training Center, Crystal River, FL, / Section 6.3 regarding specific motion "carried": 13 members voted "for"; 2 "against"; and 1 "abstained.

Concern

The proposed draft scope applicability statement is too narrowly focused because it excludes full-scope nuclear power plant (NPP) control room simulators not subject to U.S. NRC regulation. Technical standards approved by the ANS are also used and referenced by the international community. The community of NPP full-scope simulators is made up of domestic and international users.

Recommendation

The WG should reconsider the proposed draft scope statement and revise it in such a manner that it is applicable to [all] full-scope nuclear power plant control room simulators.

Deletion of ANSI/ANS-3.5-2009 required malfunctions in Section 3.1.4, "Malfunctions"

ANSI/ANS-3.5-2009, as well as all previous versions [-1998, -1993, 1985, and -1981], established functional requirements in Section 3.1.4 regarding the scope of malfunctions to be included in a full-scope NPP control room simulator for use in operator training and examination. Specifically, Section 3.1.4, "Plant Malfunctions" [3rd paragraph on page 4] reads in part:

• The malfunctions listed below shall be included:

(1) Loss of coolant;

(*) ****;

(25) Reactor pressure control system failure including turbine bypass failure (BWR.)

However, during the WG's November 15-18, 2011meeting at the V.C. Summer Nuclear Training Center in Cary, SC, the 3rd paragraph in Section 3.1.4, "Plant Malfunctions" was deleted from the proposed draft standard. The meeting minutes records the reason for the deletion as: "*The list of 25 is not sufficient to meet this standard's scope...*" [See Section 6.6 of the minutes; the specific motion to delete was "carried" with 12 members voting "for"; 2 "against"; and 2 "abstained.]

The assertion that the required malfunctions are not sufficient to meet the proposed draft standard scope is questionable since the - 2009 standard (and all previously adopted versions) requires full-scope simulators to have the specified malfunctions. The required malfunctions have been a staple of scope and sufficiency for use in the training, requalification, and examination of nuclear power plant operators ever since the after-math of the Three Mile Island Unit 2 accident in 1979.

Beginning with ANS-3.5-1981, the NRC has periodically endorsed, via RG 1.149, the required malfunctions as part of the standard. Each of the following versions of ANS-3.5 identified the required malfunctions that shall be in the scope of simulation: 1) ANS-3.5-1981, endorsed by RG 1.149; 2) ANS-3.5-1985, endorsed by RG1.149, Rev 1; 3) ANS-3.5-1993, endorsed by RG1.149, Rev 2; 4)

ANS-3.5-1998, endorsed by RG1.149, Rev 3; and, 5) ANS-3.5-2009, endorsed by RG1.149, Rev 4.

Concern

The deletion of required malfunctions is misguided because it undermines the basis and rationale for having a full-scope simulator in the first place. The protection of public health and safety requires that licensed operators not only be proficient in general operations but be able to safely cope with expected plant response to operator input and to normal, transients, and accident conditions to which the simulator has been design to respond. This means the simulator must be designed and implemented so that it is sufficient in scope and fidelity to allow conduct of the evolutions listed in 10 CFR 55.45 (a)(1) through (13), and 55.59(c)(3)(i)(A) through (AA), as applicable to the design of the reference plant. This regulatory nexus is lost in the proposed draft standard.

Recommendation

The WG should reconsider Section 3.1.4 and reinstate the required malfunctions in the scope of simulation.

ANSI/ANS-3.5-2009 Malfunctions vs. 10 CFR 55.59 Manipulations and Evolutions [Malfunctions]				
ANS-3.5	Standard Description	10	Rule Description	Nexus
Reference		CFR	_	
	× ×	55.59		Yes
		Ref.		or No
3.1.4(1)	Loss of coolant:	G	Loss of coolant,	Yes
	significant pressurized		including-	
	water reactor (PWR)		-	
	steam generator tube		(1) Significant	
	leaks, inside and		PWR steam	
	outside primary		generator leaks	
	containment, large and		e	
	small loss of coolant		(2) Inside and	
	accidents (LOCA)		outside primary	
	demonstrating		success primary	
· · · · · · · · · · · · · · · · · · ·	multiphase flow, and			

The following table illustrates the nexus between Section 3.1.4 and 10 CFR 55.59.

	failure of safety and		containment	
	relief valves;		R	
			(3) Large and	
			small, including	
			leak rate	
			determination	
			(A) Saturated	
			(4) Saturated	
			response (DWP)	
214(2)	Loss of instrument oir	Ц	L oog of instrument	Vac
5.1.4(2)	to the extent that the	п	Loss of instrument	1 68
	to the extent that the	6	all (Il sillulated	
	whole system of	2	plant specific).	
	isolable portions can	· ···		
	lose pressure and	Ċ		
	affect the reference			
	unit's static or			
	dynamic performance;	· ·		
3.1.4(3)	Degraded electrical	1	Loss of electrical	Yes
	power to the station,		power (or degraded	
	including loss of		power sources).	
	offsite power, loss of			
	emergency power,			
	loss of emergency			
	generators, loss of			
	power to the unit's			
	electrical distribution			
	buses, and loss of			
	power to the			
	individual			
	instrumentation buses			
	(including AC as well			
	as DC) that provide			

	power to control room instrumentation or unit		0	
	control functions		NYY .	
	affecting the unit's			
	response;			
3.1.4(4)	Loss of forced core	J	Loss of core	Yes
	coolant flow due to		coolant	
	single or multiple		flow/natural	
	pump failure;		circulation.	
3.1.4(5)	Loss of condenser	Р	Loss of condenser	Yes
	vacuum, including		vacuum.	
	loss of condenser level			
	control;	6		
3.1.4(6)	Loss of service water		Loss of service	Yes
	or cooling to		water, if required	
	individual	S	for safety.	
	components;			
3.1.4(7)	Loss of shutdown	M	Loss of shutdown	Yes
	cooling;		cooling.	
3.1.4(8)	Loss of component	Ν	Loss of component	Yes
	cooling system or		cooling system or	
	cooling to individual		cooling to an	
	components;		individual	
			component.	**
3.1.4(9)	Loss of normal	0	Loss of normal	Yes
	feedwater or normal		teedwater or	
	feedwater system		normal feedwater	
2.1.4(10)	failure;	17	system failure.	X
3.1.4(10)	Loss of all feedwater,	K	Loss of feedwater	Yes
	both normal and		(normal and	
2 1 4/11	emergency;	0	emergency).	¥7
3.1.4(11)	Loss of a protective	Q	Loss of protective	Yes
	system channel;		system channel.	
3.1.4(12)	Control rod failure,	R	Mispositioned	Yes
-----------	------------------------	----	---------------------	-----
	including stuck rods,		control rod or rod	
	uncoupled rods,		(or rod drops).	
	drifting rods, rod			
	drops, and misaligned			
	rods;		6	
3.1.4(13)	Inability to drive	S	Inability to drive	Yes
	control rods;		rods.	
3.1.4(14)	Fuel cladding failure	U	Fuel cladding	Yes
	resulting in high		failure or high	
	activity in reactor		activity in reactor	
	coolant or off-gas and		coolant or offgas.	
	the associated high	6		
	radiation alarms;			
3.1.4(15)	Turbine trip;	V	Turbine or	Yes
		S	generator trip.	
3.1.4(16)	Generator trip;	v	Turbine or	Yes
		Y	generator trip.	
3.1.4(17)	Failure in automatic	W	Malfunction of an	Yes
	control systems that		automatic control	
	affect reactivity and		system that affects	
	core heat removal;		reactivity.	
3.1.4(18)	Failure of reactor	Х	Malfunction of	Yes
	coolant pressure and		reactor coolant	
	volume control		pressure/volume	
	systems for PWRs;		control system.	
3.1.4(19)	Reactor trip;	Y	Reactor trip.	Yes
3.1.4(20)	Main steam line break,	Z	Main steam line	Yes
	as well as feed line		break (inside or	
	break, both inside and		outside	
	outside containment;		containment).	
3.1.4(21)	Nuclear	AA	A nuclear	Yes
K · · ·	instrumentation		instrumentation	

	failures;		failure.	
3.1.4(22)	Process	Т	Conditions	Yes
	instrumentation,		requiring use of	
	alarms, and control		emergency	
	systems failures;		boration or standby	
			liquid control	
			system.	
3.1.4(23)	Passive failures of	Т	Conditions	Yes
	components in		requiring use of	
	systems, such as	4	emergency	
	engineered safety		boration or standby	
	features or emergency		liquid control	
	feedwater systems;	5	system.	
3.1.4(24)	Failure of the	W	Malfunction of an	Yes
	automatic reactor trip		automatic control	
	system;	S	system that affects	
			reactivity.	
3.1.4(25)	Reactor pressure	y X	Malfunction of	Yes
	control system failure,		reactor coolant	
	including turbine		pressure/volume	
	bypass failure for		control system.	
	boiling water reactors			
	(BWRs).			

10 CFR 55.59 Reference

10 CFR 55.59(c) (3) (i) regarding requalification and on-the-job training, requires that "...each licensed operator manipulate the plant controls and each licensed senior operator either manipulates the controls or directs the activities of individuals during plant control manipulations during the term of the licensed operator's or senior operator's license. For reactor operators and senior operators, these manipulations must consist of the following control manipulations and plant evolutions if they are applicable to the plant design... Those control manipulations which are not performed at the plant may be performed on a simulator...

(G) Loss of coolant, including-	
(1) Significant PWR steam generator leaks	
(2) Inside and outside primary containment	
(3) Large and small, including leak rate determination	
(4) Saturated reactor coolant response (PWR).	
(H) Loss of instrument air (if simulated plant specific).	
(I) Loss of electrical power (or degraded power sources).	
(J) Loss of core coolant flow/natural circulation.	
(K) Loss of feedwater (normal and emergency).	
(L) Loss of service water, if required for safety.	
(M) Loss of shutdown cooling.	
(N) Loss of component cooling system or cooling to an individual component.	
(O) Loss of normal feedwater or normal feedwater system failure.	
(P) Loss of condenser vacuum.	
(Q) Loss of protective system channel.	
(R) Mispositioned control rod or rods (or rod drops).	

(S) Inability to drive rods.

(T) Conditions requiring use of emergency boration or standby liquid control system.

(U) Fuel cladding failure or high activity in reactor coolant or offgas.

(V) Turbine or generator trip.

(W) Malfunction of an automatic control system that affects reactivity

(X) Malfunction of reactor coolant pressure/volume control system.

(Y) Reactor trip.

(Z) Main steam line break (inside or outside containment).

(AA) A nuclear instrumentation failure.

Deletion of ANSI/ANS-3.5-2009 Appendix B, 'Guidelines for the Conduct of Simulator Operability Testing"

Although ANSI/ANS-3.5-2009 Appendix B, "Guidelines for the Conduct of Simulator Operability Testing" is not part of the standard, simulation facility users rely heavily on it as foundational guidance for their simulator operability testing program.

• "The purpose of this appendix is to provide examples of tests, parameters to be recorded, and time resolution for demonstration of simulator operability. The example tests documented herein will clarify the scope and intent of simulator operability testing required by Sec. 4.4.3.1 of the standard."

During the WG's March 13-16, 2012 meeting at the Granbury Conference Center, in Granbury, Texas Appendix B was deleted. The meeting minutes do not explain the reason or explanation for such a significant change. [Reference: Section 6.4 of the minutes indicates the motion as "carried" with 12 members voting "For"; "0" against, and "0" abstained.]

Concern

The deletion of Appendix B with no alternative approach is questionable because it undermines user testing programs already in place for demonstrating scope and fidelity sufficiency.

Recommendation

Approved Minutes Andres Monthing The WG should reconsider Appendix B and reinstate it.

7.11 Recessed: 1740

ANS 3.5 Working Group Meeting Minutes Stars Alliance Building, Goodyear AZ 2013 April 1-5 Minutes Mass. Working

8. Friday 2013 April 5 (0800)

8.1 Roll Call

Members Present:

Jim Florence Bob Felker (Absent) Keith Welchel F.J. (Butch) Colby Lawrence (Larry) Vick George McCullough - Proxy Frank Tarselli SK Chang Robert Goldman David Goodman Jody Lawter Mac McDade Dennis Koutouzis - Proxy Pablo Rey Jim Sale

Proxy/Visitors:

Tim Dennis William Fraser (Proxy McCullough) Bill Hendy (Proxy Koutouzis)

~PPro

8.2 **Consensus Level**

- 16 Voting members 14 - Voting members Present (1 Proxy Votes) 8 - Quorum (Majority Total Membership) 11 - Consensus (\geq 75% votes) 10 -Super Majority ($\geq 2/3$ Votes)
- 8 Majority (> 50% votes)
- 8.3 Motion – Accept Koutouzis resignation

ensus Level	
 6 - Voting members 4 - Voting members Present (1 Proxy Votes) - Quorum (Majority Total Membership) 1 - Consensus (≥ 75% votes) 0 - Super Majority (≥ 2/3 Votes) - Majority (> 50% votes) 	wing Grown
n – Accept Koutouzis resignation	North
Motion: Carried • 14 – For	5
 0 – Against 0 – Abstained 	S.
Name 2013Apr05	
Motion:	
Accept Koutouzis resignation.	

- 8.4 **Consensus Level**
 - 16 Voting members
 - 15 Voting members Present (2 Proxy Votes)
 - 8 Quorum (Majority Total Membership)
 - 12 Consensus (\geq 75% votes)
 - 10 -Super Majority ($\geq 2/3$ Votes)
 - 8 Majority (> 50% votes)

8.5 Motion (Carried) – Cooper Inquiry Response

Cooper Inquiry:

What is the intent and purpose of "demonstrating" a verification test? What is expected for a verification test to be "demonstrated"?

Motion: Carried
• 13 – For
• 1 – Against
1 – Abstained
Name
2013apr05
Response to the Cooper inquiry
Motion:
Section 4.4.1 requirement explanation:
Verification testing is part of a structured software design and development process for changes to the simulator configuration. A demonstration that verification testing is performed is required whenever simulation models or computer systems are modified in a way that potentially affect simulator performance.
How the requirement is intended to be applied generically:
The extent of verification testing depends on the nature of the change; a demonstration that verification testing was performed may be as simple as an assertion of simulator conformance with the design requirements or as rigorous as a written document.
Reason: Working Group public response.

Reasons Against: Says nothing and does not answer the question

Reason Abstained: Says little

- 8.6 Parking Lot Item Assignments:
 - Global
 - Globally referencing sections by title consistency. Some have the name and some do not. (Goodman/Chang)
 - 1.2 10CFR55 reference allowed? Why? (Florence)
 - Passive failure is no longer used in the standard. Definitions Section review is required. (Goodman/Chang)
 - Reference unit: review use of "docket number" since docket number does not apply to international simulators. (Chang/Rey)
 - AI owners ensure detailed notes are incorporated so that an un-informed reader can understand. (Florence/Colby/Felker)
 - Definition Replay and Fast time: Replay is the playback of a recorded session and not the recording of the session.(Lawter/Fraser)
 - Review and possibly expand stimulated components to include other types such as emulated, hybrid, etc. (Felker/Tarselli)
 - Why are Normal Evolutions required testing Once Per Fuel Cycle (Felker/Hendricsen)
 - Review all numbered list for correct format (e.g. 1) (1) 1.) (Fraser/Chang)
 - Review the use of parametric. Is it outdated. (Lawter/Fraser)
 - Definitions
 - Initial Condition (Fraser/Tarselli)
 - Snapshot (Fraser/Tarselli)
 - "Benchmark" definition needed? (Hendy/Goodman) (Already covered AI-37)
 - Definition needed for "scenario" (Hendy/Vick)
 - Section 3
 - 3.1.3.2 Why is "operator-conducted surveillance testing on safety related equipment or systems" in the list. (Colby/Felker)
 - 3.1.3/A.1.3 Item 4 Use of "reach, exceed and exceeded" use consistency (Chang)
 - 3.3.2 Delete everything starting with the word "and". Modify the first sentence (Tarselli/Rey)
 - 3.3.2/4.3.4 remove the word "licensed" and just use "accredited operator training programs" (Tarselli/Rey/Hendy)
 - 3.2.2.1 change "describe" to "require" for consistency (Tarselli)

- 3.1.4 INPO SOER is no longer used... IER. (Hendy/Vick)
- 3.1.4 Consider adding DCD, new Builds have DCD in addition to FSAR (Felker/Lawter)
- 3.2.2.2 Is a scoping section. The last sentence has nothing to do with the topic. Consider deleting the last sentence. (Felker/Fraser/Hendricsen)
- 3.3.4/4.3.4 Multi-unit interaction. No test criteria for Multi-Unit testing (Felker/Goodman)
- 3.4/5.2.3.2 Stand-alone mode may need a definition (Felker/Goodman/Tarselli)
- 3.4.2 Modify the last sentence to include "evaluated scenarios" (Hendy/Tarselli)
- 3.1.3 does instrumentation cover DCS HSI type devices (see 3.2.1.2 and 4.2.1.2) (Felker/Rey/Tarselli)
- Non-existent systems do not have number. No corresponding section 3 to 4 or 4 to 3. Example 4.1.3.1.1 and no 3.1..3.1.1 (Rey/Fraser)
- Section 4
 - 4.1.3.1.1 remove: Note: This was changed in later meetings; (Colby)
 - 4.1.3.1 are all parameters applicable to all designs (Florence/Goldman)
 - 4.1.3.1.4 two parameters in one bullet: "control rod drive system flow and temperature; (Tarselli)
 - 4.4.3 Use of Predicted versus using Best Estimate that is defined. Predicted is not defined. (Hendy/Goodman)
 - 4.3.3 Second paragraph, change defined to identified (Goodman)
 - 4.4. Second Paragraph, second sentence "maintain records" is duplicated in the sub sections. It's in three of the four. Make consistent. (Goodman/Welchel)
 - 4.1.3.1 Footnote 6 and 7 are incomplete (Goodman/Colby)
 - 4.3.5 the plot may no longer be a common use term. Review for possibly removal (Fraser/Goldman)
 - 4.3.2 reword for clarity. First line needs work. (Fraser/Goldman)
 - 4.2.2/4.1.3 No periodicity (Rey/McCullough)
 - 4.4.1/4.1.3.1 repeating testing requirements steady-state operation and for operability testing. Reference AI-9 (Rey/Vick/Felker)
 - 4.4.1/5.2.3.2 Second paragraph use "best estimate" versus "predicted" (Hendy/Goodman)
 - 4.3.4 Review for clarity: The introduction of the local operator action shall not alert the operators to pending events other than by indications that would occur in the reference unit. (Chang/Vick)
 - 4.4 remove "NOTE: Moved from section 4.4.3" (Colby)
- Section 5
 - 5.1.1 was "Current approved software" intentionally deleted. Review the original motion (Goodman)
 - 5.3 item 6 add "knowledge and" before skills (Chang/Goodman)
 - 5.2.3.1 Should there be a statement that Verification testing is needed before use in operator training. (Chang/Goodman)

- Review Section 5 for use of sub numbering: e.g. (1) versus 1 (Sections 5.1.2, 5.2, 5.2.2. (Chang)
- 5.3 is a TNA required for each discrepancy? (Florence/Goodman)
- 5.2.2 paragraph implies a plant modification could be considered a discrepancy (Florence/Goodman)
- 5.2.3 "affect" or "affects"? (Chang)

• Appendices

- Review Appendix A for continued use
 - A.1 should "evaluation" be "examination"? (Florence/Chang)
 - A.1.1 Items (1) through (3) why? (Florence/Welchel)
 - Appendix A.2 review for clarity Structure (Rey); does it align appropriately with Section 5? (Florence)
 - A.3 capitalization of items (1) through (3)?? Florence
 - Appendix A.3 Simulator documentation The bullets need to be reviewed for correct grammar, punctuation. (Hendy/Chang)
 - Appendix A.2 the list may be outdated (e..g. annunciators book, process computer book). The list should be bought up to date. The Appendix A List in general need consideration (Felker/McDade)
- Re-designate Appendices. The content in Appendix B was deleted only. (Colby).
- Appendix C Examples: words following ";" should not be capitalized. Some grammatical restructuring may be required in this section. (Florence/Chang)
- D.2 3.1.3 the word discussion is in () why? (Chang/Goodman)
- D.1. Second paragraph third sentence change "analysis of training requirement" to "a training needs assessment." (Lawter/Goodman)
- D.2 Section 3.1.4: delete "list of malfunctions". (Florence)
- D.2 Sections 3.2.1.1 and 4.2.1.1 change tile of this section. (Colby/Chang)

8.7 Next Meeting Tentative

July 22 Locations: Pilgrim

Pilgrim

8.8 Adjourned: 1100

9. Attachment 1 - Style Guide Review (SK Change)

201x Standard - Style Guide

1. ANSI Style Guide-sheet – 2003

Available at http://www.ansi.org/

- A. General guide-lines
 - Heavy emphasis on technical integrity (accurate, complete, consistent), a spelling error would only be a minor issue.
 - Consistency throughout the document: format, capitalization, etc..
- B. Strong recommendations:
 - No requirements in foreword, scope, background, definitions, footnotes.
 - Use of "shall" to indicate a requirement; use "should" to indicate a recommendation. Avoid use of "must".
 - References: full and complete. Annex is a preferred term to Appendix.
 - Number the footnotes sequentially.
- C. Completeness and consistency of document:

Pagination, indentation, punctuation, numbering of sections, footnotes, etc.: follow 2009 Standard.

2. ANSI Style manual, 8th edition, version 1.0, 3/1/91. [historical]

http://www.new.ans.org/standards/resources/downloads/docs/ansi-stylemanual.pdf



The current version is "NIST Special Publication 330. 2008 Edition; U.S. Department of Commerce, National Institute of Standards and Technology" available at http://physics.nist.gov/Pubs/SP330/sp330.pdf The 2008 edition has no impact on the SI units used in Appendix C of the Standard: MPa and °C 4. Other References: Google dictionary: http://www.google.com/dictionary Merriam-Webster: http://www.merriam-webster.com/ The Chicago Manual of Style. Chicago: University of Chicago. Webster's New International Dictionary of the English Language (Unabridged). Springfield, MA: Merriam-Webster, Inc. oproved Minutes

<u>10.</u> Attachment 2 – Motion template

Mation: Not Corrigd Amanded M	Vith drown
 x – For x – Against x – Abstained 	Athdrawn
Name 2011 Nov 17	
Motion:	A
Reason:	
	JLOS
AMA	
Jed Mil	
roved Min	